



16519 U.S. PTO

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PTO/SB/05 (08-03)
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 298-208

First Inventor HAAS

Title CONDENSOR

Express Mail Label No. EV333228669US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
- b. ☐ (for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents, P. O. Box 1450
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Engl. Certif. German
17. ☒ Other: Text, and Check \$770.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☒ Correspondence address below

Name Rocco S. Barrese, Esq.

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Name (Print/Type) George M. Kaplan Registration No. (Attorney/Agent) 28,375

Signature *George M. Kaplan* Date Oct. 10, 2003

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV333228669US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: Oct. 10, 2003

George M. Kaplan
George M. Kaplan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

UTILITY APPLICATION DATA SHEET UNDER 37 C.F.R. §1.76

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Joachim Haas and Norbert Brutscher

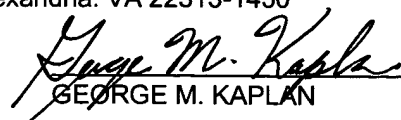
For: CONDENSER

Enclosed are:

- ☒ 15 page(s) of specification
- ☒ 2 page(s) of Abstract
- ☒ 4 page(s) of claims
- ☒ 4 page(s) of drawings translation
- ☒ 5 sheets of drawings ☒ formal ☐ informal (FIGS. 1-9) in English
- ☒ Certification of English Translation
- ☒ 14 pages of Specification, 4 pages of Claims, 2 pages of Abstract and 5 sheets of Drawings in German
- ☒ Information Disclosure Statement with Form PTO-1449 and References

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service on this date **October 10, 2003**, in an envelope as "Express Mail Post Office to Addressee" Mail Label Number **EV333228669US** addressed to: Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, **MAIL STOP Patent Application**, Alexandria, VA 22313-1450



GEORGE M. KAPLAN

- ☐ This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

/

FILING DATE

- ☒ Certified copy of application

Country

Appln. No.

Filed

Fed. Rep. Germany

102 47 335.8

October 10, 2002

from which priority under Title 35 United States Code, § 119 is claimed

☒ is enclosed.

☐ will follow.

CALCULATION OF UTILITY APPLICATION FEE

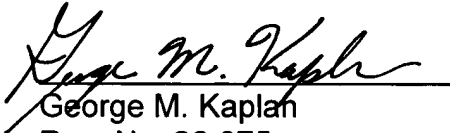
For	Number Filed	Number Extra	Rate	Basic Fee \$770.00
TOTAL CLAIMS	20	0	x 18 =	\$.00
INDEPENDENT CLAIMS	2	0	x 86 =	\$.00
<input type="checkbox"/> Multiple Dep. Claim			290	\$.00
			TOTAL \$770.00	

- ☐ Verified Statement of "Small Entity" Status Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$.
- ☐ The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.
- ☒ Check in the amount of \$770.00 ☒ filing fee(s) is attached.
- ☐ Charge fee to Deposit Account No. 04-1121.
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

Date: October 10, 2003


George M. Kaplan
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